

# **Simplifying Regulatory Compliance**

Secure communication and data retention across email and collaboration platforms like Microsoft Teams and Slack and meet regulatory requirements.

## The Problem

Organizations face increasing regulatory scrutiny and complexity as they manage growing volumes of communication data across multiple platforms. Non-compliance can result in severe financial penalties, reputational damage, and operational disruptions. Key challenges include:

- Data sprawl: Managing communication across email, collaboration platforms like Microsoft Teams and Slack, and other tools.
- Regulatory complexity: Adhering to industryspecific regulations such as HIPAA, GDPR, PCI, and SEC while maintaining audit-ready data.
- Security risks: Ensuring sensitive data remains protected against unauthorized access or tampering.

But Mimecast goes further. By integrating advanced search, eDiscovery, and reporting capabilities, Mimecast empowers organizations to confidently manage compliance risks, respond to regulatory demands, and maintain governance across all communication channels. This unified approach simplifies compliance while reducing the risk of costly fines, reputational damage, and operational disruptions.

of organizations fail to meet regulatory compliance requirements for sensitive data, increasing the risk of breaches, fines, and regulatory action.\*

Regulatory fines for non-compliance with GDPR alone can reach up to

**€20 MILLION or 4%** 

of annual global revenue, whichever is higher.\*\*

# **The Solution**

To meet today's complex regulatory requirements, organizations need a unified approach to compliance that extends beyond email to include collaboration platforms like Microsoft Teams and Slack. Mimecast Cloud Archive & Aware provide a comprehensive solution that combines secure, tamper-proof archiving with advanced compliance monitoring to address these challenges.

Mimecast's solution ensures that all communications—whether via email or collaboration tools—are securely archived and easily retrievable for audits, legal cases, or regulatory inquiries. With proactive compliance monitoring, Mimecast detects and alerts on potentially non-compliant activity, such as the sharing of sensitive information, across platforms.

#### **Mimecast Value**

- Support compliance across platforms:
   Extend compliance monitoring and archiving beyond email to include collaboration tools like Microsoft Teams and Slack.
- Simplify eDiscovery and audits:
   Quickly locate, retrieve, and export data for legal cases, audits, and regulatory inquiries with advanced search capabilities.
- Mitigate risk with proactive monitoring:
   Detect and alert on potentially non-compliant activity, such as inappropriate sharing of sensitive information.

<sup>\*</sup>Forrester Research, "The State of Data Security and Privacy" (2023)

<sup>\*\*</sup>European Commission, GDPR Enforcement Guidelines (2023)

Feature	Details
Comprehensive Archiving	<ul> <li>Store email and collaboration data in a secure, tamper-proof archive that ensures data integrity.</li> <li>Retain data based on configurable retention policies to meet industry regulations.</li> <li>Access archived data quickly with rich search and retrieval capabilities.</li> </ul>
Aware Compliance Monitoring	<ul> <li>Monitor communications across email and collaboration platforms for non-compliant or sensitive information.</li> <li>Detect inappropriate sharing of protected data, such as PHI or PCI-related details.</li> <li>Alert administrators to potential compliance risks in real time.</li> </ul>
eDiscovery and Litigation Readiness	<ul> <li>Use advanced search tools to quickly locate and extract relevant data for legal or regulatory needs.</li> <li>Implement litigation holds to preserve relevant communications during ongoing investigations.</li> <li>Export data in multiple formats for easy submission to legal teams or regulators.</li> </ul>
Data Encryption and Protection	<ul> <li>Protect communication data in transit and at rest with robust encryption protocols.</li> <li>Enforce granular access controls to ensure only authorized personnel can access sensitive information.</li> <li>Maintain full audit trails to demonstrate compliance with data protection requirements.</li> </ul>
Compliance Reporting and Insights	<ul> <li>Generate detailed compliance reports to demonstrate adherence to specific regulations.</li> <li>Leverage actionable insights to identify trends or gaps in your compliance posture.</li> <li>Simplify audit preparation with centralized access to communication records.</li> </ul>

# **Compliance Use Cases**

#### **Legal Compliance**

Mimecast Cloud Archive & Aware ensure legal teams can securely store and retrieve communication data for litigation or regulatory inquiries. With advanced search and eDiscovery capabilities, organizations can quickly locate and export relevant data, reducing the time and cost of legal processes.

#### **Healthcare Compliance (HIPAA)**

Mimecast helps healthcare organizations maintain compliance with HIPAA by securely archiving communications containing protected health information (PHI). Proactive monitoring ensures sensitive data is not improperly shared, while audit trails provide proof of compliance.

# **Financial Services Compliance (SEC, FINRA)**

Financial institutions rely on Mimecast to meet strict SEC and FINRA requirements for data retention and supervision. Tamper-proof archiving and real-time monitoring ensure compliance, while eDiscovery tools simplify audits and investigations.

#### **Data Privacy Compliance (PCI, GDPR))**

Mimecast supports compliance with global data privacy regulations like PCI and GDPR by encrypting sensitive data, enforcing retention policies, and enabling rapid responses to data subject access requests. Centralized reporting ensures organizations can demonstrate compliance with ease.

### **About Mimecast**

#### Secure human risk with a unified platform.

Mimecast's connected human risk management platform prevents sophisticated threats that target human error. By gaining visibility into human risk across your collaboration landscape, you can protect your organization, safeguard critical data, and actively engage employees to reduce risk and enhance productivity.