mimecast

Mimecast Supervision

Gain control, modernize supervision workflows, and minimize risk with Mimecast's Supervision solution.

The Problem

Financial services firms are under pressure to comply with regulatory requirements set by organizations such as FINRA, the SEC, IIROC, and FCA. These regulations demand that firms establish supervisory policies, protect client record privacy, and monitor communication accuracy. The challenge is compounded by the need to capture and review all types of communication, including email, social media, and instant messages, to identify potential risks and ensure compliance.

The Solution

Mimecast's Supervision solution enables compliance personnel to systematically review and discover targeted data amidst the vast volumes of communication. Integrated with the Mimecast Cloud Archive, it facilitates an auditable, managed supervision review process that is flexible and scalable. The solution reduces false positives through targeted detection rules and configurable queues, enhancing reviewer productivity and ensuring compliance with regulatory demands.

Mimecast Value

- **Reduces False Positives**
  Accurate, targeted data selection minimizes unnecessary alerts.

- **Increases Productivity**
  Streamlined workflows and advanced filtering tools enhance review efficiency.

- **Customizable Workflows**
  Flexible configurations meet diverse organizational needs.

- **Insightful Reporting**
  Provides analytics to identify and address workflow bottlenecks.

Feature	Details
Tools to Simplify Review	Includes capabilities like hit highlighting, keyboard shortcuts, and custom labels to streamline the review process.
Customized Workflow	Allows messages to be moved between queues for efficient escalation and review.
Reviewer Productivity Reporting	Tracks the volume of items reviewed, ensuring accountability and efficiency.

Simply Migrate Use Cases

Regulatory Compliance Assurance

Mimecast Supervision provides a systematic review process integrated with a tamper-proof archive, ensuring all communications are captured and reviewed according to regulatory standards.

Enhanced Reviewer Productivity

The solution's advanced filtering and workflow tools reduce false positives and streamline the review process, allowing teams to focus on high-risk communications.

Audit Readiness and Reporting

Mimecast Supervision offers detailed reporting and configuration tracking, providing evidence of compliance and the effectiveness of supervision policies.

Regulatory Compliance
Financial services firms face stringent regulatory requirements from bodies like FINRA, SEC, IIROC, and FCA, necessitating robust supervision solutions to ensure compliance.

Efficiency Gains
Mimecast Supervision improves reviewer productivity and reduces false positives, providing visibility into compliance review workflows.

Audit Readiness
The supervision application from Mimecast enhances audit readiness, increasing efficiencies and reducing resources required.